United States Patent and Trademark Office

EXPRESS MAIL NO. EV449559017US

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Dox 1450 Alexandria, Virginia 22313-1450

FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER APPLICATION NUMBER FILING OR 37.1 (c) DATE 10/811.791 03/29/2004

Russell H. Barton

130109.523

CONFIRMATION NO. 9492

FORMALITIES LETTER

OC000000012897403

Date Mailed: 06/08/2004

00500

SEED INTELLECTUAL PROPERTY LAW GROUP PLI

701 FIFTH AVE **SUITE 6300**

SEATTLE, WA 98104-7092

08/09/2004 BABRAHA1 00000086 10811791

FILED UNDER 37 CFR 1.53(b)

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01 FC:1001 02 FC:1051 03 FC:1202

770.00 DP 130.00 OP 36.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$36 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$936 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is \$36
 - **\$36** for **2** total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

OFE JC10	/
AUG O 1 ZOOA	OFFICE
RELECTION OF THE PROPERTY OF T	3

TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Application Number	10/811,791	
Filing Date	March 29, 2004	
First Named Inventor	Russell H. Barton	
Art Unit	1746	
Examiner Name		
Attorney Docket No.	130109.523	

	*		
	EI	NCLOSURES (check all that app	oly)
Fee Transmittal Fee Attache Amendment/Re After Final Affidavits/d Extension of Tir Express Abanda Request Information Disc Statement; Forr Cited Reference Certified Copy of Document(s) Response to Mi under 37 C.F.R. Response to Mi Parts/Incomplet	eclaration(s) me Request conment closure m PTO-1449 es (5) of Priority ssing Parts 1.52 or 1.53 ssing	 □ Drawing(s) □ Request for Corrected Filing Receipt □ Licensing-related Papers □ Petition □ Petition to Convert to a Provisional Application ☒ Power of Attorney, Revocation, Change of Correspondence Address ☒ Declaration ☒ Statement under 37 CFR 3.73(b) □ Terminal Disclaimer □ Request for Refund 	CD(s), Number of CD(s) After Allowance Communication to Technology Center (TC) Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Return Receipt Postcard Additional Enclosure(s) (please identify below): Copy of Assignment Copy of Notice to File Missing Parts
	SIGNATUR	E OF APPLICANT, ATTORNEY,	OR AGENT
Individual Name	Karl R. Herma	anns	Customer Number 00500
Signature	ζ		
Date	August 4, 200)4	
with the United Stat	this corresportes Postal Ser	IFICATE OF TRANSMISSION/MAndence is being facsimile transmit vice with sufficient postage as firs Patents, P.O. Box 1450, Alexando	ted to the USPTO or deposited
Typed or printed na	ame		
Signature			Date:

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

504522_1.DOC

				Complete if Known	
FEE TRANS	MI	TTAL	Application Number	10/811,791	
for FY 2	ስበ	1	Filing Date	March 29, 2004	
		-	First Named Inventor	Russell H. Barton	
Patent fees are subject to	annua	revision.	Examiner Name		
pplicant claims small entity statu	s. Se	e 37 CFR 1.27.	Art Unit	1746	
OTAL AMOUNT OF PAYMENT	(\$)	936	Attorney Docket No.	130109.523	
METHOD OF PAY	MENT			FEE CALCULATION (continued)	
Ourmont Englands			3. ADDITIONAL FEE	S	

\^ F	.50							_
WIEW & TRAC)	METHOD	OF PAYMENT					FI
WE A TRAI	Payment Encl		or i Arimeiti		3. ADDIT	TIONAL	FEES	<u></u>
	[] . [] . [] . [] . [] . [] . []	, .			Large E		Sm	al
	Check L	Credit card	Money Order O	ther	Fee Code	Fee (\$)	Fee Code	
	Deposit Accou	int:		į.	1051	130	2051	
	Deposit Account Number	19-1090			1052	50	2052	
	Deposit	Seed Intelle	ctual Property Law	Group	1053	130	1053	
	Account Name	PLLC			1812	2520	1812	
	The Director is au	thorized to (che	ck all that apply)		1804	920°	1804	
	l K	e(s) indicated below		overpayments	1805	1840*	1805	1
	Charge any	y additional fee(s)	during the pendency of	this application	1251	110	2251	
	l	•	w, except for the filing	fee	1252	420	2252	
		y deficiencies			1253	950	2253	
	to the above-identific	ed deposit accoun	.		1254	1480	2254	
		FEE CAL	CULATION		1255	2010	2255	
	1. BASIC FILING				1401	330	2401	
		mail Entity			1402	330	2402	
:	Fee Fee(\$)	Fee Fee(\$) Code	Fee Description	Fee Paid	1403	290	2403	
	1001 770	2001 385	Utility filing fee	770	1451	1510	1451	
	1002 340	2002 170	Design filing fee		1452	110	2452	
		2003 265	Plant filing fee		1453	1330	2453	
		2004 385	Reissue filing fee		1501	1330	2501	
	1005 160	2005 80	Provisional filing fee		1502	480	2502	
				(\$) 770	1503	640	2503	
	2 EVEDA OLAIRA	EEEO EOO HEN	SUBTOTAL (1)	(\$) 770	1460	130	1460	
	2. EXTRA CLAIM	FEES FOR UTIL	ITY AND REISSUE		1807	50	1807	
	~ o		Extra from Claims below	Fee Paid	1806	180	1806	
	Total Claims 22	-20** =	0 + 18	_ = 36	8021	40	8021	
	Claims Multiple Dependent				1809	770	2809	
	Large Entity	Small Entity		J []	1810	770	2810	
	Fee Fee	Fee Fee	F		1	_		
	Code (\$)	Code (\$)	Fee Description		1801	770	2801	
	1202 18	2202 9						
	1201 86 1203 290	2201 43 2203 145			1802	900	1802	
	1204 86	2203 143	** Paissus indopon		Other fee	(specify)		
	1205 18	2205 9	** Paissua claime in		*Reduced	d by Bas	ic Filing	F
		SUE	STOTAL (2) (\$) 3	6				

			EEE C	ALCULATION (continued)	
3 ADDI	TIONAL	FFFS		-LOULATION (Continued)	
Large I		<u>Sm</u>	alt		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	130
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2520	1812	2520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1840*	1805	1840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1480	2254	740	Extension for reply within fourth month	
1255	2010	2255	1005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1510	1451	1510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1330	2453	665	Petition to revive - unintentional	
1501	1330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee	(specify)				
*Reduce	d by Bas	ic Filing	Fee Pa	id SUBTOTAL (3) (\$) 130	

Name (Print/Type) Karl R. Hermanns Registration No. Attorney/Agent) 33,507	umber
Thiomography 1	
Signature Date August 4, 2004 00500	0

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PATENT

TRADEMIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Russell H. Barton et al.

Application No.

10/811,791

Filed

: March 29, 2004

For

HYDROGEN CONCENTRATION SENSOR FOR AN

ELECTROCHEMICAL FUEL CELL

Art Unit

1746

Docket No.

130109.523

Date

August 4, 2004

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Commissioner for Patents:

In response to the Notice to File Missing Parts dated June 8, 2004, please find enclosed the following documents:

X Fee

X Oath/Declaration

X Copy of Notice to File Missing Parts

Respectfully submitted,

Seed Intellectual Property Law Group PLLC

Karl R. Hermanns

Registration No. 33,507

504524 1.DOC